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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark P. Bendett
Title: APPARATUS AND METHOD FOR INTEGRATED PHOTONIC DEVICES HAVING ADD/DROP PORTS AND GAIN
Docket No.: 1014.010US3
Filed: November 27, 2001
Examiner: Unknown
Serial No.: 09/995,404
Due Date: N/A
Group Art Unit: Unknown

Commissioner for Patents
Washington, D.C. 20231

We are transmitting herewith the following attached items (as indicated with an "X"):

- ☒ A return postcard.
☒ An Information Disclosure Statement (1 pg.), Form 1449 (2 pgs.), and copies of 36 cited references.

Please consider this a **PETITION FOR EXTENSION OF TIME** for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Charles A. Lemaire
Atty: Charles A. Lemaire
Reg. No. 36,198

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on this 30th day of May, 2002.

Mark J. Gambetta
Name

Mark J. Gambetta
Signature

Customer Number 21186

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09/995,404

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark P. Bendett Examiner: Unknown
Serial No.: 09/995,404 Group Art Unit: Unknown
Filed: November 27, 2001 Docket: 1014.010US3
Title: APPARATUS AND METHOD FOR INTEGRATED PHOTONIC DEVICES
HAVING ADD/DROP PORTS AND GAIN

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to 37 C.F.R. § 1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Account No. 19-0743 in order to have this Information Disclosure Statement considered.

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

MARK P. BENDETT

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6949

Date

29 May 2002

By

Charles A. Lemaire

Charles A. Lemaire
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Name

Mark J. Gambetta

Signature

Mark J. Gambetta

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	09/995404
Filing Date	November 27, 2001
First Named Inventor	Bendett Ph.D., Mark
Group Art Unit	0
Examiner Name	Unknown

Sheet 1 of 2

Attorney Docket No: 01014.010US3

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-2110237	03/08/1938	Parsons, C. H.	23	258	03/06/1936
	US-2182564	12/05/1939	Leiboff, S. L.	167	74	07/10/1937
	US-3481712	12/02/1969	Bernstein, R. K., et al	23	292	02/07/1966
	US-3733179	05/15/1973	Guehler, P. F.	23	230 B	08/29/1968
	US-3880630	04/29/1975	Izawa, T.	65	30	11/30/1972
	US-3888648	06/10/1975	West, E. J., et al	65	30	03/06/1974
	US-4318058	03/02/1982	Mito, I., et al	372	50	04/22/1980
	US-4335079	06/15/1982	Vander Mey, J. E.	422	194	10/20/1980
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	US-5242531	09/07/1993	Klingshirn, H., et al	156	620.4	02/03/1992
	US-5243609	09/07/1993	Huber, D. R.	372	19	05/22/1992
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	US-5660611	08/26/1997	Ishikawa, S., et al	65	384	06/05/1995
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	US-5858051	06/12/1999	Komiyama, Y., et al	65	386	05/08/1996
	US-5953359	09/14/1999	Yamaguchi, M., et al	372	50	10/08/1997
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
	EP-0784362	07/16/1997	Corsini, R.	H01S	3/06	
	JP-60-158407	08/19/1995	Shimada, Y.	G02B	6/12	
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	WO-97/44686	11/27/1997	Friesem, A. A., et al	G02B		

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

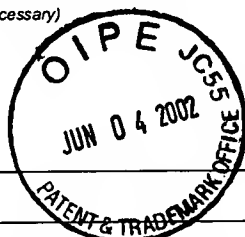
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

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Application Number	09/995404
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First Named Inventor	Bendett Ph.D., Mark
Group Art Unit	0
Examiner Name	Unknown

Sheet 2 of 2

Attorney Docket No: 01014.010US3

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"TIA/EIA Telecommunications Systems Bulletin", APCO Project 25 System and Standards Definition, TSB102-A (Revision of TSB102), (1995)	
		BARBIER, D., et al., "Sub-Centimeter Length Ion-Exchanged Waveguide Lasers in Er/Yb Doped Phosphate Glass", 11th Ann. Conf. on Integrated Optics and Optical Fibre Comm., Vol. 4, (1997), pp. 41-44	
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		VEASEY, D.L., et al., "Distributed Feedback Lasers in Rare-Earth-Doped Phosphate Glass", (Abstract) Proceedings of the 7th European Conference on Integrated Optics with Technical Exhibition, Vol. 1, XP000978171, Delft, Netherlands, (April 3-6, 1995), pp. 579-582	

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